

**(For individuals only)**

Please fill this form in ENGLISH and in BLOCK LETTERS (All information as applicable in Sections A, B and C below is mandatory)

This information is sought under the Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.

For existing investors, the address(es) & contact details furnished herein will be replaced in the records of the Mutual fund / authorised agent. (Refer notes / guidelines overleaf)

**A. Identity Details (Please see guidelines A1 to A5 overleaf)**

1. Name of applicant (As appearing in supporting identification document) Title  Mr.  Ms.  Others  Gender  Male  Female

Name

Father's name

2. Permanent Account Number (PAN) (MANDATORY)

Please tick (✓)  Copy of PAN card attached

3. Date of birth   /   /     3. Nationality  Indian  Others

4. Status Please tick (✓)  Resident individual  Non-Resident Indian

Please affix  
most recent  
colour photograph

Please sign across  
the photograph

**B. Address Details (Please see guidelines B1 to B4 overleaf)**

1. Address for correspondence

City / Town / Village  Pin Code   
State  Country

2. Contact details

Tel. (Off.)   Tel. (Res.)

Mobile   Fax

E-mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

- Latest telephone bill  Latest electricity bill  Passport  Driving license  Latest bank passbook  Latest bank account statement  Latest demat account statement  
 Voter identity card  Ration card  Registered lease / Sale agreement of residence

4. Permanent Address of Resident Applicant if different from B1 above OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village  Postal Code   
State  Country

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

- Latest telephone bill  Latest electricity bill  Passport  Driving license  Latest bank passbook  Latest bank account statement  Latest demat account statement  
 Voter identity card  Ration card  Registered lease / Sale agreement of residence  For NRIs - Any other document attested by local authority.

**C. Other Details (Please see guidelines C1 and C2 overleaf)**

1. Gross annual income details Please tick (✓)

- Upto Rs. 5,00,000  Rs. 5,00,001 to Rs. 25,00,000  Rs. 25,00,001 to Rs. 1,00,00,000  Rs. 1,00,00,001 to Rs. 5,00,00,000  Rs. 5,00,00,001 and above.

2. a. Occupation details Please tick (✓) one or more

- Private sector service  Public sector / Government service  Business  Professional  Agriculturist  Retired  Housewife  
 Student  Forex dealer  Civil servant  Bureaucrat  Current or Former MP, MLA or MLC  Politician  Current or former head of state  
 Others (Please specify) \_\_\_\_\_

**DECLARATION**

I hereby confirm that I have read and understood the Instructions mentioned overleaf for compliance of Know Your Customer (KYC) procedure for transacting in units issued by JPMorgan Mutual Fund and I agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to JPMorgan Mutual Fund. I hereby declare that the particulars given herein are true, correct and complete to the best of my knowledge and belief, the documents submitted along with this application are genuine and I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any Notifications, Directions issued by any governmental or statutory authority from time to time. I hereby undertake to promptly inform JPMorgan Mutual Fund of any changes to the information provided hereinabove and agree and accept that JPMorgan Mutual Fund and its authorised representatives are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by them on the basis of the information provided by me as also due to my not intimating / delay in intimating such changes. I hereby authorize JPMorgan Mutual Fund to disclose, share, remit in any form, mode or manner, all / any of the information provided by me to JPMorgan Mutual Fund in which I may transact / have transacted and / or to their authorised agents and representatives including all changes, updates to such information as and when provided by me. I hereby agree to provide any additional information / documentation that may be required by JPMorgan Mutual Fund in connection with this application. I hereby confirm that this is a unique KYC application and I have not applied for KYC in the past.

**SIGNATURE OF APPLICANT**

Place :

Date :

**For office Use only**

Stamp of POS  
(Name & Location)  
& Receiver's Signature

Name and Employee Number of Receiver

- (Originals verified) Self certified document copies received  
 (Attested) True copies of documents received

KYC reference

Place :

Date :

"Important Notes" disclosed overleaf has to be printed on the reverse side of this form, else the sheet disclosing the same also has to be signed by the applicant.

## INSTRUCTIONS - PLEASE READ BEFORE FILLING UP THE FORM

1. This application form is meant to enable a person to comply with the client identification program laid down by the Prevention of Money Laundering Act, 2002 (PMLA) hereinafter referred to as Know Your Customer (KYC) requirements. It is for use by **INDIVIDUALS** only. A separate form is provided for non-individual entities.
2. This form is only meant for providing information and documents required for KYC compliance. Applicants must be KYC compliant while investing with JPMorgan Mutual Fund (JPMMF) for the purpose of the KYC compliance.
3. Subscription to JPMMF units may be made only after obtaining the KYC acknowledgement at their respective designated Investor Service Centres (ISCs).
4. Unit holders / investors must attach the KYC acknowledgement along with the investment application form(s) / transaction slip(s) while investing for the first time in every folio. Applications forms / transaction slips that are not accompanied by KYC acknowledgement are liable to be rejected by JPMMF.
5. **Joint Holders:** Joint holders need to be individually KYC compliant before they can invest with JPMMF. For example, in case of three joint holders, all holders need to be KYC compliant and copies of all three KYC acknowledgements have to be attached to the investment application form with JPMMF.
6. **Minors:** In case of investments in respect of a minor, the guardian should be KYC compliant and attach the KYC acknowledgement while investing in the name of the minor. The minor, upon attaining majority, should immediately apply for KYC compliance in his/her own capacity and intimate JPMMF, in order to be able to transact further in his/her own capacity.
7. **Power of Attorney (PoA) holder:** Investors, who wish to invest through a PoA, must note that the KYC compliance requirements are mandatory for both the PoA issuer (i.e., investor) and the Attorney (i.e., the holder of PoA), both of whom should be KYC compliant in their independent capacity and attach their KYC acknowledgements while investing.
8. If an individual becomes a mutual fund investor due to an operation of law, e.g., transmission of units upon death of an investor, the claimant / person(s) entering the register of unit holders of the mutual fund(s) will be required to be KYC compliant by filling up this form, before such transfer can take place.
9. Mutual funds require investors to provide their identity (only PAN card copy) and address information, supported by documentary evidence for KYC requirements. They reserve the right to seek any additional information / documentation in terms of the PMLA at any point of time.
10. Mutual funds will not be liable for any errors or omissions on the part of the applicant / unit holders in the application form for KYC compliance.
11. Mutual funds will, on a best effort basis, ensure that the documents received in support of KYC requirements are verified. Acceptance of the application form along with document(s) is subject to verification and final confirmation from JPMMF. In the event of any KYC application form being subsequently rejected for lack of information / deficiency / insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at the prevailing applicable NAV, subject to payment of exit load, wherever applicable. Such redemption proceeds will be despatched within a maximum period of 21 business days from date of acceptance of application. (In the case of an ELSS scheme or a New Fund Offer, allotment will be done only on confirmation from JPMMF that the KYC compliance is final and if JPMMF informs that the KYC requirements are deficient, the original amount invested will be refunded.)
12. The Mutual Fund, Asset Management Company, Trustee Company and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / non-allotment of units or mandatory redemption of units / refund due to non-compliance with the provisions of the PMLA, SEBI guidelines or where the AMC / Mutual Fund believes that transaction(s) by an applicant / investors is / are suspicious in nature within the purview of the PMLA and SEBI guidelines and requires reporting the same to Financial Intelligence Unit - India (FIU-IND).

## GUIDELINES FOR FILLING UP THE KYC APPLICATION FORM

### General

1. The application form should be completed in **ENGLISH** and in **BLOCK LETTERS**.
2. Please tick in the appropriate box wherever applicable.
3. Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by canceling and re-writing, and such corrections should be counter-signed by the applicant.
4. Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected.
5. Applications complete in all respects and carrying necessary documentary attachments should be submitted at the designated ISC.
6. You are required to submit a copy of the PAN card and a proof-of-address document for each address filled by you in this form. Documents submitted to support identity and address should be

#### (i) Proof of identity:

- Original documents + self-attested photocopies (originals will be returned over-the-counter after verification)

#### (ii) Proof of address:

- Original documents + self-attested photocopies (originals will be returned over-the-counter after verification)

### OR

- True copies attested by a notary public / gazetted officer / manager of a scheduled commercial bank or multinational foreign banks (name, designation and seal should be affixed on the copy). Unattested photocopies of an original document or of notarised copies are not acceptable.
- If the above documents including attestation / certifications are in regional language or foreign language then the same has to be translated into English for submission.

### A. PAN details

1. Name : Please state your name as Title (Mr/ Mrs/ Ms/ Dr/ Commander / etc.), first, middle and last name in the space provided. **This should match with the name as mentioned in the PAN card submitted as supporting document, otherwise the application may be rejected. If the PAN card has a name by which the applicant has been known differently in the past, then requisite proof should be provided (marriage certificate, or gazetted copy of name change).**
2. Date of birth: Please ensure that this matches with the date of birth as indicated in the PAN card, if mentioned therein.
3. Nationality: Foreign Nationals are not allowed to apply, unless they are Non-Resident Indians or Persons of Indian Origin (PIO).
4. Status : Please tick your current status.
5. PAN : PAN is mandatory to be KYC compliant. Please read instruction given in 6(i) above carefully.

### B. Address details

1. Address for communication: Please provide here the address where you wish to receive

all communications sent by the mutual funds. **The address you give here will supersede existing information in the records of the Mutual Fund / Registrars and Transfer agent to the participating mutual fund. This address should match with the address in the 'proof-of-address' submitted as supporting document, otherwise the application is liable to be rejected.**

2. Contact details: Please provide the telephone / email contact details for the mutual fund to communicate with you.
3. Proof of address documents: Please note that each of the two addresses mentioned by you will need to be supported by a 'proof-of-address' bearing your or your spouse's / parent's (documents to establish relationship also to be submitted) name as supporting documents. Please tick the box as applicable, for the document provided by you. You may attach any one of the following documents:

• Latest\* telephone bill • Latest\* electricity bill • Passport • Driving license • Latest\* bank passbook • Latest\* bank account statement • Voter identity card • Ration card • Latest\* Demat account statement • Registered lease / Sale agreement of residence • Proof of address issued by bank managers of scheduled commercial banks / multinational foreign banks / gazetted officer/ notary public / elected representatives to the Legislative Assembly / Parliament / document issued by any government or statutory authority

\* These documents should not be more than three months old as on the date of submission of this form

4. Permanent Address / Overseas Address: If you are a Resident Indian, and your Permanent address is different from the one mentioned in the address for correspondence, please state it here. If you are a Non-Resident Indian or a Person of Indian Origin, it is mandatory for you to state your overseas address here.

### C. Other details

1. Gross annual income details: Please tick the applicable box indicating your gross annual income (including both taxable and tax-free incomes).
2. Occupation details: Please indicate your current occupation by ticking one most applicable to you.

**After you have completely filled up the form, please submit the same along with the entire set to the ISC. Please also submit a photocopy of the Form for acknowledgement purpose, which you can retain for your records.**

Other important notes, after the KYC Acknowledgement is issued to you:

1. Please preserve the document from JPMMF which confirms KYC compliance. You will need to attach photocopies of this document when you make your additional investments for the first time in every folio, in JPMMF.
2. If you observe any error in the details as captured by JPMMF, you are requested to approach the nearest designated ISC.
3. If you are already holding investments in any of the mutual funds, please communicate your being KYC compliant immediately to such Funds or their Registrars, giving details of your folio / account numbers with them. Please note that your signature on the KYC Application Form should match with that on the records of the participating mutual funds.
4. In respect of new investors, signature on the Application Form for investing / transacting in participating mutual fund should match with that on this KYC Application Form.

## CHECKLIST

(Before submitting this form, please go through the following checklist)

1. Please ensure that the form is completed in all respects and signed by you.
2. Please affix your recent photograph and sign across the photograph.
3. Please attach a copy of the PAN card. This should be a photocopy plus the original for verification.
4. Please attach a proof of address document (one for each distinct address). These should be either original + photocopies or attested / notarised photocopies.
5. If you are an NRI, you must mention your overseas address in B(4) and attach a proof of address.
6. Please submit a photocopy of the duly filled up KYC application form.

For assistance or enquiries please approach the ISC where you had submitted your KYC Application Form.